

Previously.

- NP
- Simplified NTM  $\leftarrow$
- Verifier Definition  $\leftarrow$

Verifier Proves  
 $V(x, c) \xleftarrow{c}$  Good / Malicious

$L \in NP$  if  $\exists$  a verifier  $V(x, c)$

s.t

$\forall x \in L, \exists c \stackrel{(\text{corp})}{\text{s.t.}} V(x, c) = 1 \checkmark$   
 $\forall x \notin L, \forall c \stackrel{(\text{sound})}{V(x, c) = 0 \checkmark}$

- CNF, INDSET,

- Reduction

CNF

3CNF

$\varphi \longrightarrow \varphi'$

Tarjan's algo.

2CNF

s.t.  $\varphi$  is satisfiable iff  
 $\varphi'$  is satisfiable

3CNF

INDSET

$\varphi \longrightarrow (G, m)$

s.t.  $\varphi$  is satisfiable iff

$G$  has an indepset of

size  $\geq m$

3CNF  $\leq$  INDSET

$L_1 \leq L_2$

CNF  $\leq$  3CNF  
 $\wedge$   
CNF

$L_2$  is at least as hard as  $L_1$

$L_1 \leq L_2$  if there is a poly time  
TM  $M$  s.t.

$\forall x \in L_1, M(x) \in L_2$

$\forall x \notin L_1, M(x) \notin L_2$

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- Reductions are transitive

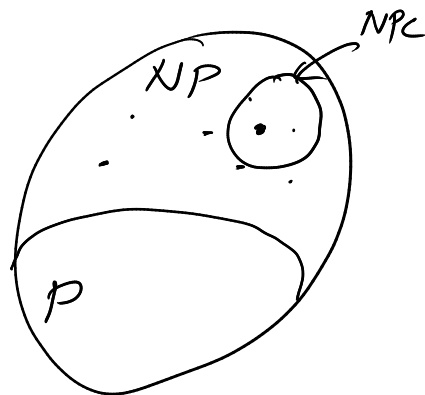
$M_1 \quad M_2 \quad M_2(M_1(x))$   
 $L_1 \leq L_2 \leq L_3$

$\Rightarrow L_1 \leq L_3$

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NP-Complete

$= \{ L \in NP : \forall L' \in NP, L' \leq L \}$



$L_A$  and  $L_B$  are NPC

then  $L_A \leq L_B$

and  $L_B \leq L_A$  (by definition)



• NPC is the set of hardest problems in NP.

• Suppose there is a polytime TM for deciding an NPC language

then  $P = NP$

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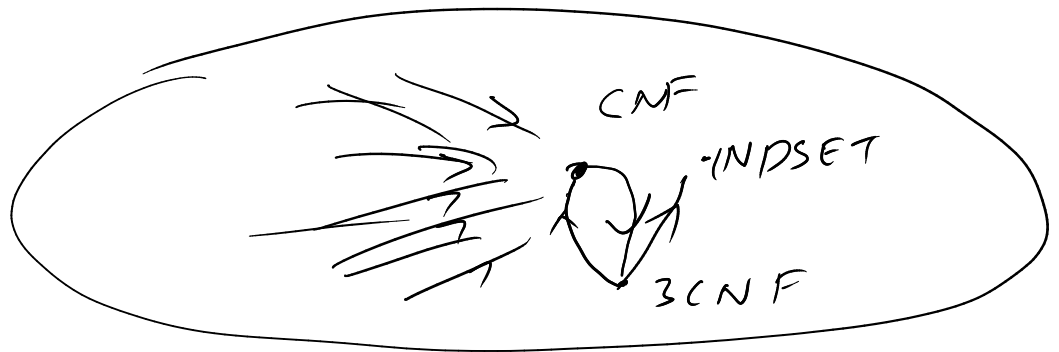
• Is there an NP-complete problem?

• Cook - Levin Theorem

CNF is NP-complete.

$CNF \leq_3 CNF$

$\Rightarrow 3CNF$  is NP Complete.



$3CNF \leq INDSET$

$\Rightarrow INDSET$  is NP-Complete.

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If we want to show,  $L \in NP$ -Complete then we just need to give a reduction that converts an instance of an existing NP-Complete language to  $L$

Karp showed over 30 combinatorial problems are NP-Complete.

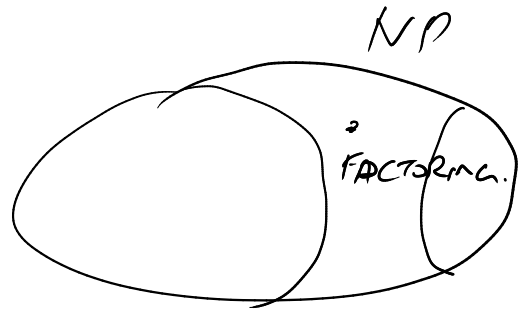
$P \stackrel{?}{=} NP$  will mean coming up with  
a proof is as easy as verifying a  
proof: ↙ mechanical.

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FACTORIZING ( $n$ )

$N, m, n$

$$m \cdot n = N$$



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Cook-Levin Theorem.

$\forall L \in NP, L \leq CNF$

Proof:

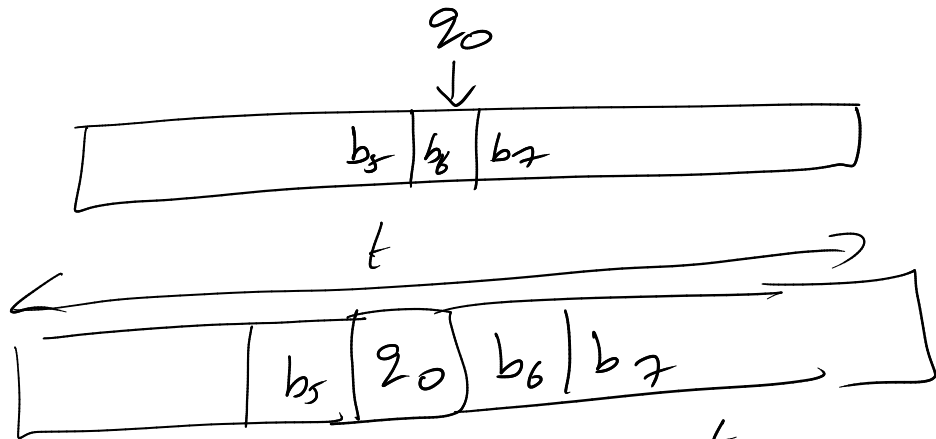
$L \in NP, \Rightarrow$  There is a verifier TM s.t.  
(deter, poly time.)

$\forall x \in L, \exists c, V(x, c)$  accepts

$\forall x \notin L, \forall c, V(x, c)$  rejects.

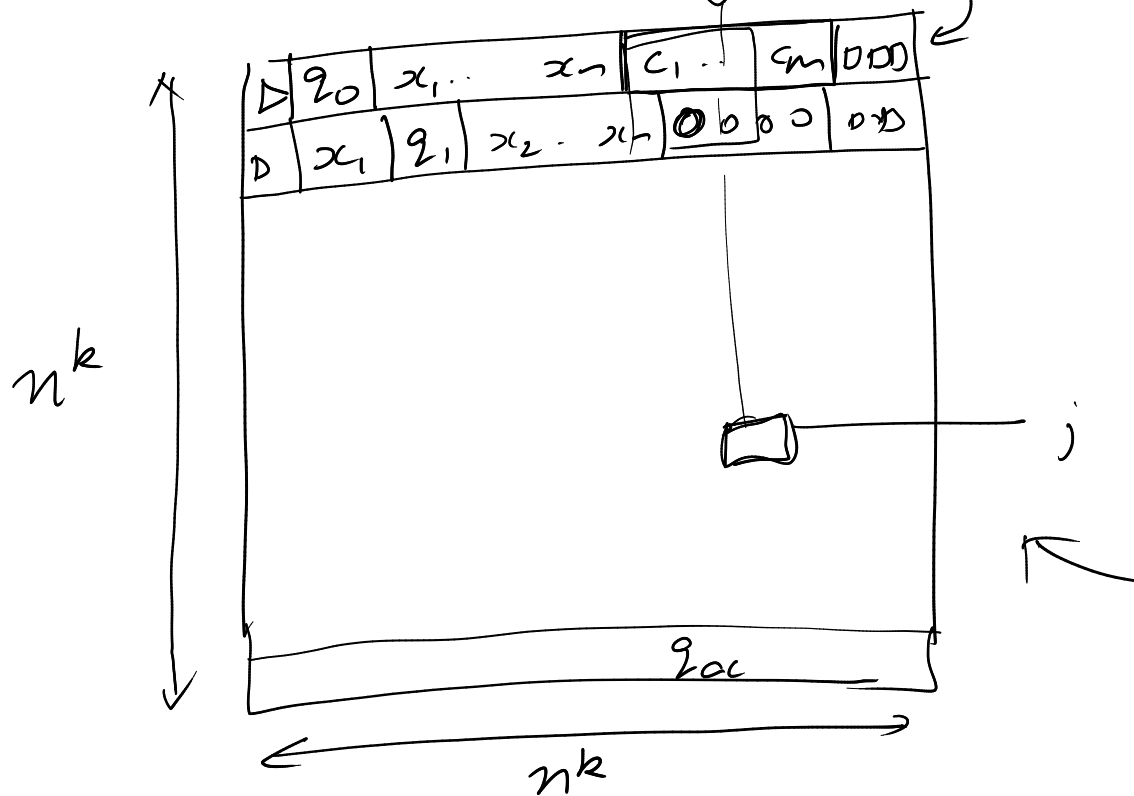
# Configuration of the register.

- current state
- position of tape head
- contents of work tape



a string  $\in (\Gamma \cup \Phi)^t$  start config. of register.

tableau.



$x \in L$  iff  $\exists M(x, c) = 1$

$\Rightarrow \exists$  a table of  $n^k \times n^k$  s.t

- ① first row is the start configuration
- ② last row is the accept configuration
- ③ Every consecutive row is obtainable by application of a  $\delta$  (transition rule)

Proof Idea: Check all of above using a CNF formula

$x \longrightarrow \varphi$

Cell  $[i, j]$  = symbol at  $i, j^{\text{th}}$  pos in the table

$\Gamma \cup \varphi$



$\forall i, j \in [1, \dots, n^k], s \in \Gamma \cup \emptyset$  <sup>constant</sup>

$$y_{i,j,s} = 1 \text{ iff } \text{Cell}(i,j) = s$$

How many such variables?

$$n^k \cdot n^k \cdot (|\Gamma| + |\emptyset|) = O(n^{2k})$$

• New constraint:

④ - Every cell can have exactly 1 symbol.

$$y_{11q_1} = 1 \quad y_{11q_2} = 1$$

↳ not possible in a valid table.

$v_1, \dots, v_t$

Find CNF s.t. exactly one of

$v_1, \dots, v_t$  is 1?

- atleast one of  $v_1, \dots, v_n$  is  $\pm 1$

$$v_1 \vee v_2 \dots \vee v_n$$

- at most one of  $v_1, \dots, v_n$  is  $\pm 1$

$$\bigwedge_{i,j} (\bar{v}_i \vee \bar{v}_j)$$

$n=3$

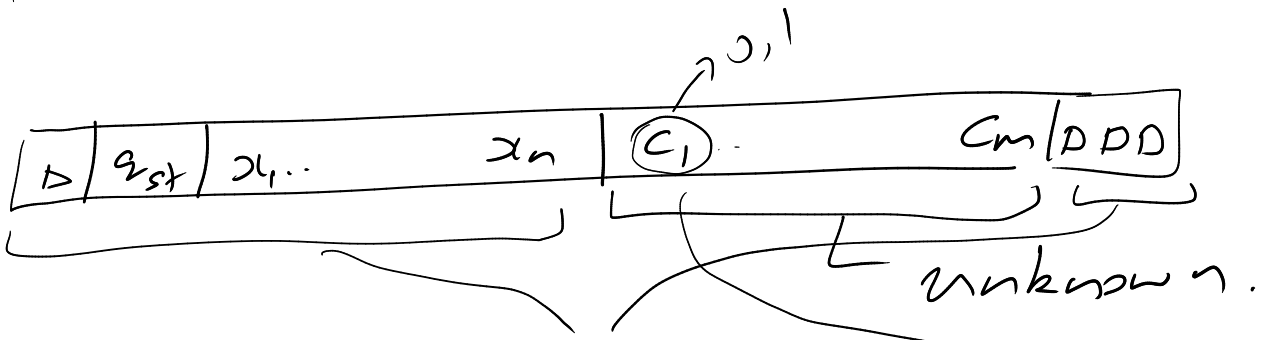
$$(v_1 \vee v_2) \wedge (v_2 \vee v_3) \wedge (v_3 \vee v_1)$$

1	0	0	0	0	1
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For every cell,

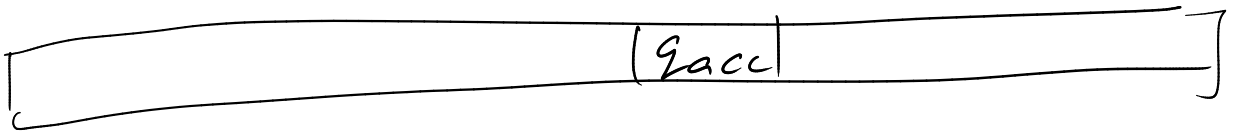
$$( ) \wedge ( )$$

① First row is the start configuration



$$\left( y_{11D} \wedge y_{12q_{st}} \wedge y_{13x_1} \wedge y_{14x_2} \wedge \left( y_{1n+20} \vee y_{1n+20,1} \right) \wedge \right)$$

② last row is the acc configuration.



$$\left( y_{nt+1q_{acc}} \vee y_{nt+2q_{acc}} \dots \vee y_{nt+q_{acc}} \right)$$

③ Consecutive configurations are obtainable by  $\delta$  transitions.

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$C_1$

D b a a  $q_1$  b c b #

$C_2$

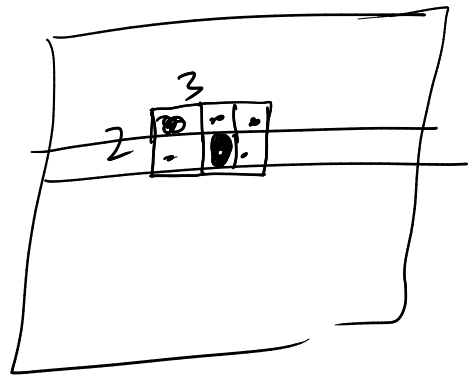
D b a  $q_2$  a b c b #

$C_3$

D b  $q_2$  a a c c b #

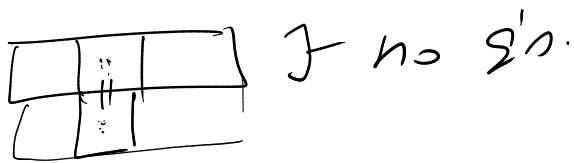
$$\delta(q_1, a) = (q_2, a, L)$$

2x3 window in tableau.



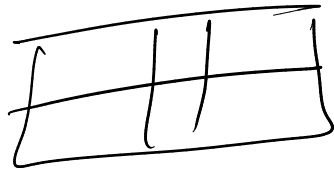
Every  $2 \times 3$  window can have some  
limited possibilities.

↳ constant.



$\in (\text{QUT})^6$

$S \in (\text{QUT})^6$



↳  $\forall i, j \in n^k,$

check if the  $2 \times 3$  window  
at  $i, j \in S_{ij}$

Can be encoded by a  
constant size formulae.

∴ Total  $n^{2k}$  size formulae.

$\Sigma \longrightarrow \Phi$

$O(n^{2k})$

Size.

$x \in L \iff \Phi$  is satisfiable.

$x \in L$  if  $\exists$  a path  
at accept.

$x \notin L$  if  $\forall$  paths reject.

